

PARTNER

Alan B. Vickery

Armonk

333 Main Street
Armonk, NY 10504
Phone 914 749 8200

New York

55 Hudson Yards
20th Floor
New York, NY 10001
Phone 212 446 2345



PROFILE

During 36 years of private practice and government service, Alan has handled many high-stakes and high-profile cases across a wide range of industries. He has in-depth experience in every aspect of civil and criminal litigation. A skilled strategist, cross-examiner and advocate, he has a record of achieving successful outcomes for clients facing unusual and intractable problems. He has been recognized for many years by *Best Lawyers in America* and *Super Lawyers Magazine* as one of the country's leading business litigators. He is a member of the firm's Executive Committee.

Alan has appeared in federal and state courts throughout the country. He handles nationwide class actions, multijurisdictional proceedings, and parallel civil, criminal and regulatory actions. He has conducted arbitrations under JAMS, AAA, ICDR and ARIAS rules, and he has managed litigation in foreign countries.

Alan started his career as a law clerk to Second Circuit Chief Judge Wilfred Feinberg and then U.S. Supreme Court Justice William H. Rehnquist. He later served for seven years as a criminal prosecutor with the U.S. Attorney's Office for the Eastern District of New York, ultimately as Chief of Business & Securities Fraud. He tried to jury verdict and argued on appeal complex market manipulation, securities fraud and mail/wire fraud cases, as well as drug trafficking, money laundering, weapons and continuing criminal enterprise cases.

Representative clients for whom Alan has litigated major civil cases include the New York Yankees, YES Network, NASCAR, Zurich Insurance, John Hancock, Lincoln Financial, Voya and Caithness Energy. He has participated in important cases for Goldman Sachs, Oracle, Continental Airlines, IBM, Polaroid, Avis,

PRACTICES

Insurance Disputes
Antitrust and Competition
Financial Institutions and Private Funds
Class Actions
Global Investigations and White Collar Defense
Media and First Amendment
Sports and Gaming
Energy
Intellectual Property
Technology
Securities and Shareholder Disputes
Appellate
Environmental Law
Commercial Disputes

EDUCATION

Columbia Law School, J.D.; James Kent Scholar; Harlan Fiske Stone Scholar; Notes and Comments Editor, Columbia Law Review
Amherst College, B.A., *magna cum laude*, American Studies

ADMISSIONS

Bars

New York
U.S. Supreme Court

Salomon Brothers, the FDIC/RTC and Westinghouse. He also has advised the *New York Times*, Intertrust Technologies, Loeb Enterprises, Trizec founder Peter Munk, and German media mogul Leo Kirch.

Alan also represents corporations and individuals in grand jury investigations and criminal prosecutions, among them numerous unindicted executives and employees of Galleon Group in the SDNY’s insider trading cases against Galleon founder Rajat Rajaratnam and former McKinsey chair Rajat Gupta.

Alan has lectured on white collar crime at Columbia, Yale and Fordham law schools, the ABA’s White Collar Crime Institute, and St. Gallen University in Switzerland.

PUBLICATIONS & PRESENTATIONS

Columbia University Law School, New York City, Ideas of the First Amendment Seminar (Professor Vincent Blasi), 2017, Guest Lecturer

Note, Breach of Confidence: An Emerging Tort, 82 Colum. L. Rev. 1426 (1982) (cited by numerous federal and state courts, including the U.S. Court of Appeals for the Second Circuit; the highest courts of Oregon (en banc), Virginia, Ohio, South Carolina, Tennessee, and the District of Columbia; and intermediate appellate courts of New York, California, New Mexico and Washington; and secondary sources such as 1 McCormick On Evid. § 72.1 (7th ed.))

St. Gallen University, Executive School of Management, Technology & Law, St. Gallen, Switzerland, 2016, 2017, Guest Lecturer on U.S. White Collar Criminal Investigation, Prosecution and Defense

Yale University Law School, New Haven, April 2016, Guest Lecturer, Seminar on White Collar Criminal Defense: Critical Issues and Strategies

A.B.A. White Collar Section, San Francisco,1999, Panelist, Criminalizing Breach of Fiduciary Duties

A.B.A. White Collar Section, San Francisco, 1998, Panelist, Reinsurance Fraud, U.S. v. John Brennan and United States Aviation Underwriters

Columbia University Law School, New York City, White Collar Crime Seminar (Prof. John Coffee and U.S. District Judge Jed Rakoff), 1995, 1997, 1998, 2017, Guest Lecturer

Andrews Publications Annual International Reinsurance Conference, Cambridge, Mass. 1997, Guest Lecturer, Reinsurance Fraud Based on Fiduciary Duties, U.S. v. John Brennan and United States Aviation Underwriters

Fordham Law School, New York City, 1994, Guest Lecturer, White Collar Crime Seminar

A.B.A. Antitrust Section, Section 1 Committee, Washington, D. C. 1989, Panelist, Essential Facility Doctrine in Airline Computer

U.S. Court of Appeals: Second Circuit
U.S. Court of Appeals: Fourth Circuit
U.S. Court of Appeals: Federal Circuit
U.S. District Court: Southern District of New York
U.S. District Court: Eastern District of New York

AWARDS AND ASSOCIATIONS

Lawdragon 500 Hall of Fame (2025)

Lawdragon 500 Leading Litigators in America

Lawdragon 500 Leading Lawyers in America (2021-2024)

Director, Lawyer's Committee for Civil Rights Under Law

Trustee, United States Supreme Court Historical Society

Member, Columbia Law School Board of Visitors

Chairman, American Friends of Lucerne Festival

Director and Treasurer, American Friends of Florence Music

Past Director, Salle Modulable Foundation located in Lucerne, Switzerland

Regularly Listed Among Top Lawyers by Super Lawyers Magazine and Best Lawyers in America

Executive Office of U.S. Attorneys, United States Department of Justice, Director's Award for Superior Performance in 1994 and 1997

Member, American Bar Association and ABA's Litigation Section

Member, New York State Bar Association

Member, New York City Bar Association

Member, Federal Bar Council

Active in Alumni Associations and Fundraising for Amherst College and Columbia Law School

GOVERNMENT SERVICE

Chief of the Business and Securities Fraud Section, United States Attorney's Office, Eastern District of New York, Deputy Chief of that Section, Assistant United States Attorney, Criminal Division, United States Attorney's Office, Eastern District of New York

CLERKSHIPS

Associate Justice William H. Rehnquist, U.S. Supreme Court

